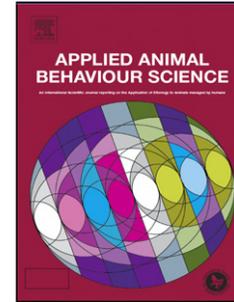


Accepted Manuscript

Title: Evaluation of the predictive validity of the behavioural assessment for re-homing K9's (B.A.R.K.) protocol and owner satisfaction with adopted dogs

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1 Highlights

2

- 3
- We examine the predictive validity of the B.A.R.K. protocol
- 4
- B.A.R.K. predicted fearful and friendly behaviour post adoption
- 5
- Problem behaviour and aggression post adoption were not predicted by B.A.R.K.
- 6
- About 25% of adopted dogs assessed by B.A.R.K. and existing tests showed aggression
- 7
- Shelters should review standard practices when assessing shelter dog behaviour

8

Accepted Manuscript

8 **Evaluation of the predictive validity of the behavioural assessment for re-homing K9's**
9 **(B.A.R.K.) protocol and owner satisfaction with adopted dogs.**

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34 **Abstract**

35 It is common practice for animal shelters and rescue organisations to conduct behaviour
36 assessments on the dogs in their care. The information obtained is used to identify dogs suitable
37 for rehoming and also assist with matching dogs to appropriate homes. Although the aim of these
38 assessments is to provide a snapshot of the behavioural characteristics of individual dogs,
39 research to determine whether such tools are effective and accurate in predicting the behaviour of
40 dogs post adoption is currently lacking. In the present study, we investigated the predictive
41 validity of a standardised protocol called the Behavioural Assessment for Re-homing K9's
42 (B.A.R.K.). We used the B.A.R.K. protocol to assess 74 dogs housed in an animal shelter, prior to
43 their adoption. All dogs were at least 1 year of age (mean= 2.86 years, SD = 2.02). New owners
44 of these dogs took part in a post adoption survey 2 to 8 months (Mean = 4) after they adopted
45 their dog. The survey aimed to establish the degree to which the behavioural tendencies identified
46 by the B.A.R.K. protocol carried through to the adoptive home. The predictive validity of the
47 B.A.R.K. protocol was relatively poor. Multiple regression analyses revealed that Fear, measured
48 by the B.A.R.K. protocol, significantly predicted 'fearful/inappropriate toileting' behaviours post
49 adoption ($\beta = 0.36$, $p < 0.05$), as did Anxiety ($\beta = -0.31$, $P < 0.05$). However problem behaviours
50 more generally and aggression post adoption were not predicted by the B.A.R.K. protocol.
51 Almost 25% of adopters reported that their new dog had 'growled, snapped at, or attempted to
52 bite a person' and nearly 75% indicated that their dog exhibited behaviour they would change if
53 they could. Despite this, just over half (56.8%) of the new owners said they were very satisfied
54 with the behaviour of their newly adopted dog and 71.2% said their adopted dog had met their
55 expectations. Our results suggest that additional research is urgently needed to evaluate the
56 predictive validity of in-field behaviour assessments and whether a more holistic, or alternative,
57 approach to assessing shelter dog behaviour, such as longer-term foster care programs, is required
58 to safeguard the welfare of dogs in the shelter system and the community at large.

59

60 *Keywords:* Shelter dogs; Behaviour assessment; Temperament test; Welfare; Predictive validity

61

62 **1. Introduction**

63 Animal welfare shelters, the world over, take in millions of unwanted and stray dogs every year
64 (Bollen and Horowitz, 2008). It is common practice for shelters to assess the behaviour of the
65 dogs in their care, prior to re-homing them, to determine whether or not they are suitable for
66 adoption. These assessments are thought to provide a profile of the behavioural characteristics of
67 dogs (van der Borg et al., 1991) and are used to assist in matching adopters with appropriate dogs
68 (Bollen and Horowitz, 2008). Information collected during the assessment, in which dogs are
69 exposed to a series of stimuli intended to replicate real world situations, is used to identify stable
70 behavioural tendencies in order to predict future behaviour that may be expressed in similar
71 circumstances (Dowling-Guyer et al., 2011). The purpose of the assessment is to determine
72 whether a dog will make a suitable companion for potential adopters (Christensen et al., 2007)
73 and to optimise the match between adopter and dog (Bollen and Horowitz, 2008).

74

75 The quality of a behavioural test, whether a test is a good measure, the right measure and a useful
76 measure, is determined by three characteristics: reliability; validity; and feasibility (Martin and
77 Bateson, 1993). While these characteristics can only be assessed empirically, these tests are
78 seldom validated (Taylor and Mills, 2006). This is an important oversight because it is widely
79 recognised that deficiencies in assessment have the potential to be a significant welfare concern if
80 dogs are wrongly euthanased or placed in homes for which they are unsuited, and they may also
81 place adoptive families at risk if potentially dangerous dogs are sold as adoptable pets
82 (Mornement et al., 2010). Available National statistics for Australia show that 21.5% of all dogs
83 admitted to shelters are euthanased (Royal Society for the Prevention of Cruelty to Animals
84 Australia National Statistics 2012-2013). The most common reasons cited for euthanasia are
85 'behavioural' (66%) followed by 'medical' (23%). Although, encouragingly, fewer dogs are

86 being euthanised for behavioural reasons than was the case a decade ago, this still represents
87 many thousands of dogs. It is therefore imperative that shelter dog behavioural assessments are
88 valid.
89
90 Validity in this context cannot be assumed because accurate assessment of the behaviour of dogs
91 while in a shelter environment, even when utilising standardised protocols, remains problematic.
92 Shelter admission is highly stressful and traumatic for most dogs (Mornement et al., 2014).
93 Contributing factors include capture and confinement during transport, loss of familiar social
94 companions, extremely novel surroundings, contagious and aggressive barking and loss of control
95 over environmental contingencies (Shiverdecker et al., 2013). Other evidence demonstrates that
96 chronic stress can affect cognitive functioning (Marina et al., 2011) and behaviour (Beerda et al.,
97 1997; Beerda et al., 1999; Grønli et al., 2005). Behaviour displayed by dogs during a behaviour
98 assessment, which typically occurs in the first few days following admission, may therefore not
99 be indicative of dogs' usual behaviour under more normal circumstances, such as in the home
100 environment. This could render any assessment of behaviour conducted within a shelter,
101 particularly within close proximity of time of admission, inadequate. Although few studies have
102 examined this possibility recent research has found that, of 77 dogs that did not display food-
103 related aggression during a shelter behaviour assessment, 22% did display the behaviour post
104 adoption. Conversely, 45% of the 20 dogs that did display food-related aggression during the
105 behaviour evaluation did not display the behaviour in their new homes (Marder et al., 2013).
106 Another study by Kis et al., (2014) found that food related aggression changed over time with
107 dogs showing more aggression having spent two weeks in the shelter compared to one to two
108 days after admission. In addition, pet dogs showed more food aggression in the presence of their
109 owner (Kis et al., 2014). This suggests that behaviour assessment protocols for shelter dogs may
110 not be efficient in identifying and predicting all behavioural tendencies, including aggression.
111

112 The Behavioural Assessment for Re-homing K9's (B.A.R.K.) protocol, a standardised shelter dog
113 behaviour assessment, was developed following a review of shelter dog assessment protocols
114 used in Australia (Mornement et al., 2010). The review revealed that, although shelters are to be
115 commended for attempting to ensure that only appropriate dogs are adopted, standardisation in
116 content and methodology, and empirical evidence to support the reliability, validity and
117 feasibility of such assessment protocols, was lacking. Preliminary evaluation of aspects of the
118 reliability of the B.A.R.K. protocol revealed a good degree of inter-rater reliability, when two
119 experienced raters simultaneously scored dog behaviour, but limited test-retest reliability, when
120 dogs were retested 24 h later (Mornement et al., 2014). It may be inevitable that individual dogs'
121 responses will vary over time, as the shelter environment is dynamic and cannot be completely
122 standardised. If so, however, this would significantly limit the validity and utility of shelter-based
123 behavioural tests.

124

125 In a previous study we also followed up dogs, assessed in-shelter using the B.A.R.K. protocol,
126 that were subsequently adopted using a post adoption questionnaire. We reported one general
127 measure of the predictive validity of the B.A.R.K. which showed that two behavioural traits,
128 measured by the instrument in the shelter, were observed by new owners. Mean Fear and
129 Friendliness scores obtained during the B.A.R.K. assessment were significantly correlated with
130 new owner ratings of their dogs, overall, for the same behavioural traits (Mornement et al., 2014).

131 In this paper we report the predictive validity of the B.A.R.K. protocol in greater detail by
132 investigating the tools ability to predict a range of specific post adoption behaviours, in a variety
133 of contexts. The questionnaire probs various aspects of the behaviour of adopted dogs in their
134 new homes, adopter satisfaction with their dog and the adoption process, and the process adopters
135 used to select their new dog.

136

137 **2. Method**

138 2.1 *Subjects*

139 2.1.1 *B.A.R.K Assessments*

140 A sample of 102 dogs were assessed using the B.A.R.K. protocol while temporarily housed in
141 five Australian animal shelters over a 12 month period. Due to University risk management and
142 ethics requirements all dogs had already passed the shelters' existing behaviour assessments.
143 Each was also given at least 3 days to acclimatise to the shelter environment before the
144 assessment took place. After the dogs were rehomed, their new owners were invited to participate
145 in a follow up phone interview. Data for 28 dogs were unavailable either because the dogs were
146 not made available for adoption (n = 9) or their new owners did not consent to participate (n = 13)
147 or could not be contacted for the post adoption survey (n = 6). The final sample comprised 74
148 adopted dogs (55.4% male, 44.6% female, 100% desexed prior to rehoming). All were estimated
149 to be at least 1 year of age (mean= 2.86 years, SD = 2.02). The most common breeds/breed types
150 were Jack Russell Terrier cross (n = 9, 12.2%), Maltese cross (n = 7, 9.5%), Australian Cattle
151 Dog cross (n = 5, 6.8%), Staffordshire Bull Terrier cross (n = 5, 6.8%) and Cavalier King Charles
152 Spaniel (n = 5, 6.8%). The remaining dogs in this study consisted of a wide variety of small to
153 large pure breeds (n = 16, 21.6%) and cross breeds (n = 27, 36.4%).

154

155 2.1.2 *Questionnaire Participants*

156 Data were collected over a 12 month period. Women represented the large majority of survey
157 participants (77%) compared to men (23%). The majority of households contained two adults
158 (63.5%), followed by one adult (24.3%), three adults (9.5%) and four or six adults (2.8%). The
159 most common age of the person most responsible for caring for the newly adopted dog was 26-55
160 years (58.1%), followed by 66 years and over (18.9%) and 56-65 years (14.9%). The highest level
161 of education attained by participants was a Bachelor degree (36.5%), followed by Year 10
162 (17.6%), Trade certificate or vocation (16.2%), Year 12 or equivalent (14.9%), Post graduate
163 degree (12.2%) and less than Year 10 (2.7%).

164

165 *2.2 Materials*

166 *2.2.1 B.A.R.K Assessments*

167 Each dog was assessed using the B.A.R.K. protocol, with its performance being recorded on the
168 B.A.R.K scoring matrix (see Morenment et al., 2014) with a cover sheet attached to record
169 specific characteristics (age, breed/breed type, sex, ID number) of each dog and the date and time
170 the assessment took place.

171

172 *2.2.2 Post adoption questionnaire*

173 The B.A.R.K. Protocol Post Adoption Questionnaire was developed on the basis of the B.A.R.K.
174 protocol and informed by a focus group of canine experts. The focus group consisted of an animal
175 behaviourist, dog trainer, a veterinary nurse, shelter worker and several academics within the
176 anthrozoology and psychology disciplines. The questions were designed to obtain information
177 about the dog selection process, the adopted dog's behaviour in the new home, the new owner's
178 satisfaction with the dog they adopted, and the adoption experience.

179 The post adoption questionnaire comprised 69 questions divided into 5 sections. Five questions in
180 Section 1 and eight questions in Section 2 related to details about the dog that participants
181 adopted and questions about the selection process. Questions such as 'Do you still have the dog
182 you adopted?', 'How old is the dog you adopted?', 'What was the main reason you decided to get
183 a dog', 'How much planning (and research) went into your decision to adopt your dog?', and;
184 How important were certain factors, such as size, coat type, general appearance and the behaviour
185 of the dog, in influencing your selection of your dog?.' Section 3 asked participants 40 questions
186 about the post-adoption behaviour of their new dog. Adopters were asked to rate how often, on a
187 5 point Likert-type scale (Never, Rarely, Sometimes, Often, and Very often), their dog had
188 displayed a number of behaviours since the adoption. Examples include being destructive,
189 hyperactive, too noisy, toileting in the house and growling or snapping at a person whilst eating.

190 Participants were also asked where the dog slept, if they had taken the dog to obedience training,
191 how often the dog was exercised and how well the dog had adjusted to its new home. Lastly,
192 participants were asked to rate overall, on a 5 point Likert-type scale (Not at all, Somewhat,
193 Moderately, Very or Extremely) how anxious, fearful, friendly, active and compliant their new
194 dog was. Eight questions in Section 4 of the questionnaire asked participants about their
195 satisfaction with the dog they adopted and the adoption process and a further eight questions in
196 Section 5 related to demographic information.

197

198 *2.3 Procedure*

199 The project was approved by the Monash University Standing Committee for Ethics for Research
200 involving Humans and Animal Ethics Committee.

201

202 Nine Australian animal shelters were invited to participate in the B.A.R.K. validation study.

203 Shelter managers, who volunteered their shelter to participate in the research, contacted the
204 researchers to indicate their interest. In total, five animal shelters agreed to participate.

205 Workshops, run by one of the investigators, were conducted at the five Australian animal shelters
206 during which at least two staff members were trained in administration and scoring of the

207 B.A.R.K. protocol. Instructions and scoring sheets were provided and staff members observed

208 assessing and scoring several dogs using the protocol until the investigator was satisfied they

209 were competent. At the completion of each workshop, each shelter agreed to: assess as many dogs

210 as possible (and at least $n = 20$) using the B.A.R.K. protocol, as well as their usual assessment

211 protocol; invite owners of newly adopted dogs included in the study to participate in a post

212 adoption survey, and; send completed assessments and new adopter consent forms to the

213 investigators (Mornement, et al., 2014).

214

215 After several months it was evident that data collection from the participating shelters was
216 extremely poor. Two shelters did not collect any data after the work shop, one assessed one dog
217 and another assessed two dogs. The highest data collection rate from a participating shelter over
218 six months was 13 dogs. Subsequently, one of the investigators worked on a voluntary basis at
219 one of the participating shelters, where she personally administered and scored the B.A.R.K.
220 Issues concerning poor data collection on behalf of participating shelters are discussed in detail in
221 the discussion section of this paper.

222

223 New owners of dogs that were subsequently adopted from the participating shelters ($n = 89$) were
224 invited to participate in a post adoption survey at the time they adopted their dog. Those who
225 agreed to participate, and were contactable ($n = 74$), were telephoned between 2 and 8 months
226 (Mean = 4 months) after the adoption of their new dog and the post adoption survey was
227 completed over the telephone at a time convenient for participants (Mornement et al., 2014).

228

229 *2.4 Statistical analysis*

230 Data from the completed surveys were entered into SPSS for Windows (Version 19) for analysis.
231 Descriptive data analyses were conducted for the majority of the post adoption questionnaire
232 variables. Principal components analyses (PCA), using Direct Oblimin rotation, were performed
233 to explore emergent components in variables from Section 3 of the questionnaire. Only data that
234 met the recommendations for Kaiser-Meyer-Okin value (0.6 or above) and Bartlett's Test of
235 Sphericity (reaching statistical significance; $P < 0.001$) were used in the PCAs. Multiple
236 regressions, using the Enter method, were performed to identify which components best predict
237 shelter dog behaviour post adoption. Mahalanobis distance was employed to identify multivariate
238 outliers, which were subsequently deleted from the model (Tabachnick and Fidell, 2007).
239 Relationships between behaviour assessed in dogs while temporarily housed in animals shelters
240 and new owner reported behaviour 4 to 8 months post adoption were examined.

241

242 **3. Results**243 *3.1 Post adoption questionnaire*244 *3.1.1 Sections 1 and 2 – Details about the adopted dog and the selection process*

245 Only one participant no longer had the dog that they had adopted and this person indicated that
246 they had given it away to a friend. The remaining 73 participants still had their dog. Using a 5-
247 point Likert-type scale (1 = none to 5 = a great deal), new dog owners were asked to rate how
248 much planning went into their decision to adopt their dog. Half (50%) indicated they did ‘a lot’ of
249 research, followed by ‘some’ (18.9%), ‘a great deal’, ‘a little’ (12.2%) and 6.5% said they did no
250 planning. Participants were also asked to rate, using the same scale, how much research they did
251 into dog ownership and dog behaviour prior to adopting their dog. Over one third (39.2%)
252 indicated they did no research prior to adopting their dog while the remaining 60.8% did some
253 level of research. Participants cited various reasons for adopting their dog, the most common of
254 which were as a companion for themselves (51.4%), as a companion for another dog (16.2%),
255 because their previous dog had died (10.8%), as a companion for their child/children (9.5%) and
256 for exercise (4.1%). When asked why they decided to adopt a dog from a shelter the most
257 common responses were that they wanted to rescue a dog/save a life (60.8%), they wanted an
258 adult dog (14.9%) and that they didn’t like pet shops (10.8%). In most cases, the person who
259 adopted the dog (58.1%) or the whole family (23%) wanted to get a new dog.

260 On a 5-point Likert-type scale (1 = extremely unimportant to 5 = extremely important),
261 participants were asked to rate the importance of a range of factors in their decision to adopt their
262 particular dog. Table 1 shows the frequency of their responses.

263 -----

264 Insert Table 1 here

265 -----

266

267 As can be seen from Table 1, 87.7% of participants rated the fact that the dog needed a home as
268 important or extremely important. Participants also considered the behaviour of the dog (87.7%),
269 the size of the dog (82.2%), the personality of the dog (87.6%), the appearance of the dog
270 (46.6%) and the coat type (38.4%) as important or extremely important in their decision to adopt
271 their dog. Interestingly, opinions varied on some factors with many participants stating that coat
272 type (39.7%) and the appearance of the dog (31.5%) were unimportant or extremely unimportant.
273 The gender of the dog (64.3%) was the factor considered least important, rated frequently as
274 unimportant or extremely unimportant, by participants.

275

276 *3.1.2 Section 3 – Behaviour in the new home*

277 Participants rated the frequency, on a 5 point scale (never, rarely, sometimes, often, very often),
278 with which their newly adopted dog displayed 19 different behaviours (Table 2). Behaviours most
279 frequently rated as occurring very often included greeting visitors in a friendly manner, jumping
280 up on people and pulling hard on the lead. Behaviours most frequently rated as never occurring
281 included: fear of strangers (60.8%); fear of strange noises/objects (27%); being overly active
282 (24.3%); mouthing or chewing people in play (54.1%); chasing small animals (40.5%);
283 aggression towards other animals (47.3%); escaping (62.2%); growling or snapping while eating
284 a treat/bone (93.2%), meal (93.2%), and playing with a toy (93.2%); growling or snapping at or
285 attempting to bite a person (75.7%); being destructive (41.9%); being too noisy (54.1%), and;
286 being anxious/stressed when home alone (44.6%). Alarming, 24.3% of participants reported
287 their adopted dog had growled, snapped at or attempted to bite a person.

288 -----

289 Insert Table 2 here

290 -----

291 The 19 behaviours were subjected to PCA, which revealed three behavioural scales (Table 3).

292 The 'Fearful/inappropriate toileting' subscale consisted of 5 items ($\alpha = 0.73$); the 'Problem

293 behaviours' subscale consisted of 7 items ($\alpha = 0.65$), and; the 'Aggression' subscale consisted of
294 4 items ($\alpha = 0.66$), explaining 15.1%, 13.2% and 11.0% of the variance, respectively. When
295 dealing with psychological constructs, values below or in the region of 0.70 are expected because
296 of the diversity of the constructs being measured and the small number of items in the
297 'Aggression' subscale (Klein, 1999; Field, 2005).

298 -----

299 Insert Table 3 here

300 -----

301

302 *3.1.3 Section 4 – Satisfaction with the adopted dog and the adoption experience*

303 When asked whether their dog had any behaviours they would change if they could, almost three
304 quarters (71.6%) answered affirmatively. When asked to indicate what behaviour they would like
305 to change, the most common responses included destructive behaviour (11.2%), fearful behaviour
306 (8.4%), excessive barking and pulling on the lead (7.0%), separation anxiety, escaping,
307 aggression towards other dogs and inappropriate toileting (4.2%).

308

309 Most adopters reported that their dog had adjusted to its new home extremely well (60.8%) or
310 very well (35.1%) and all agreed (24.3%) or strongly agreed (75.7%) that their new dog was a
311 member of the family. The majority strongly agreed (70.3%) that the adoption process was a
312 positive experience, that they would adopt again in the future (75.7%) and that their dog had met
313 their expectations (71.2%). The majority of participants neither agreed nor disagreed that the time
314 (60.8%) and work (66.2%) involved in caring for their new dog was more or less than expected.
315 For some participants it was less time (25.7%) and work (21.6%) looking after their dog than
316 expected. Overall, the majority of participants were very satisfied both with the behaviour of the
317 dog they adopted (56.8%) and with the adoption process (71.6%).

318

319 *3.2 Predictive validity of the B.A.R.K protocol*

320 *3.2.1 B.A.R.K. Protocol predictors of post adoption behaviour in the new home*

321 Three multiple regression analyses were conducted to determine whether means scores derived
322 from the five behavioural traits scored in the B.A.R.K. protocol could predict behaviour in the
323 new home using the three behavioural subscales ('Fearful/inappropriate toileting', 'Problem
324 behaviour' and 'Aggression') as dependent variables. The results of the first regression analysis
325 indicated the model explained 30.6% of the variance ($R^2=0.31$, $F(5, 61) = 5.38$, $P < 0.001$). It
326 was found that Fear (scored in the B.A.R.K. protocol) significantly predicted
327 'Fearful/inappropriate toileting' behaviour post adoption ($\beta = 0.36$, $P < 0.05$), as did Anxiety ($\beta =$
328 -0.31 , $P < 0.05$). The other behavioural subscales derived from PCA, 'Problem behaviour' and
329 'Aggression', were not predicted by B.A.R.K.

330

331 We also investigated whether the mean scores for the five behavioural traits scored in B.A.R.K.
332 could predict specific post adoption behaviours (See Table 2). It was found that mean Fear scores
333 significantly predicted how often new owners reported that their dog had shown fear of strangers
334 ($\beta = 0.34$, $P < 0.05$), as did mean Anxiety scores ($\beta = -0.26$, $P < 0.05$). These two variables
335 accounted for 34.5% of the variance in fear of strangers ($R^2=0.35$, $F(5, 61) = 6.42$, $P < 0.001$).
336 How often the dog greeted visitors in a friendly manner was also predicted by B.A.R.K with
337 mean Friendliness scores ($\beta = -0.45$, $P < 0.05$) accounting for 28.2% of the variance ($R^2=0.28$, F
338 $(5, 61) = 4.78$, $P < 0.01$). The remaining post adoption behaviours were not predicted by the
339 B.A.R.K. protocol.

340

341 **4. Discussion**

342 The aims in this paper were to evaluate, more specifically, the predictive validity of the B.A.R.K.
343 protocol by investigating, via a questionnaire, a range of behaviour exhibited by adopted dogs and
344 how satisfied owners were with their new dogs and the adoption process. Preliminary evaluation

345 of a general measure of the predictive validity of the instrument had previously shown that overall
346 mean scores for Fear and Friendliness obtained from the B.A.R.K. protocol correlated with
347 ratings given by new owners, for the same behaviours, post adoption (Mornement et al, 2014).
348 Upon investigation of the ability of the B.A.R.K. protocol to predict more specific behaviours
349 post adoption, the results were somewhat disappointing. While it appears that the B.A.R.K.
350 protocol may be an effective tool to predict a general measure of friendliness and fearful
351 behaviour exhibited in a number of contexts post adoption, the protocol does not appear to be a
352 good predictor of problem behaviours, such as aggressive and destructive behaviour, in shelter
353 dogs.

354

355 Several possibilities exist when considering how to interpret these data. The first is that the
356 B.A.R.K. may simply be inadequate as an instrument with which to assess canine behaviour,
357 either because the instrument itself, or its administration in this study, were flawed. We do not
358 think that the instrument is inadequate because it was developed based on a comprehensive
359 review of shelter dog behaviour assessment protocols and input from experts (Mornement et al.,
360 2010). The reliability of the B.A.R.K. protocol has also been investigated and the instrument
361 exhibits strong inter-rater reliability however the test-retest reliability was reduced (Mornement et
362 al., 2014). We also feel that poor administration is unlikely to have resulted in the negative
363 findings we obtained. While a small proportion of the dogs were assessed by ‘trained’ shelter
364 staff at four shelter establishments, the majority of dogs in our sample were assessed by one of
365 the researchers at one of the participating shelters, an expert in dog behaviour assessment.

366

367 A second possibility is that, despite research supporting the stability of personality and
368 behavioural traits in dogs (Diederich and Giffroy, 2006; Taylor and Mills, 2006), some aspects of
369 canine behaviour may not be predictable. Consistent with this possibility was the relatively high
370 number of new adopters who reported their dog had growled at, snapped at or attempted to bite a

371 person (see Table 2). This is alarming considering that all of the dogs in this study had been
372 assessed using the B.A.R.K. protocol and the standard assessment already used within each
373 shelter, and they had been observed by shelter staff for at least 3 days prior to assessment, and did
374 not display aggressive behaviour. In Australia, shelter dogs that display any form of aggression,
375 either during an assessment or during their stay in the shelter, are typically not made available for
376 adoption. Indeed, a limitation of this study, as with similar studies in this area, is that only those
377 dogs that did not show any signs of aggression, either during the shelters' routine assessment or at
378 any time prior to this assessment taking place, were included in the sample for safety reasons.

379

380 This, then, suggests the possibility of a high number of false negatives in the initial assessment
381 and the B.A.R.K. protocol, potentially meaning that shelter dog assessments overall do not offer a
382 valid index of aggression, and that they may be poor predictors of future aggressive behaviour.

383 Aggression, it seems, is particularly difficult to assess reliably as it occurs infrequently and may
384 be context specific. In a study that assessed the behaviour of privately owned dogs using the
385 Dutch Socially Acceptable Behaviour (SAB)-test, it was found that a considerable proportion of
386 aggressive dogs remained undetected and the test was deemed suboptimal for assessing types of
387 aggression unrelated to fear (van der Borg et al., 2010). In contrast, fear may be a more robust
388 and stable behavioural trait than aggression, and also when compared to anxiety, compliance and
389 activity level. Indeed, recent research in epigenetics revealed fearful behaviour may be heritable,
390 without prior learning taking place (Dias and Ressler, 2013).

391

392 A third possible explanation for our results is that canine behaviour may be reasonably
393 predictable and the B.A.R.K. may be adequate as a measure of canine behaviour, but assessment
394 of dogs in the first few days following admission to a shelter may produce misleading results.
395 Despite shelters' best efforts to maintain excellent animal welfare, dogs may be suffering from
396 disease, sleep deprivation, noise pollution, social and emotional stress. These stressors, inherent

397 in shelters, may inhibit some dogs with aggressive tendencies from exhibiting them during an
398 assessment (Christensen et al., 2007). This may be particularly true in the first few days following
399 admission, with the admission process itself likely to be stressful and traumatic for most dogs due
400 to capture and confinement, loss of familiar social companions, novel surroundings, contagious
401 and aggressive barking and loss of control over environmental contingencies (Shiverdecker et al.,
402 2013). Indeed, recent research has shown that shelter dogs show more aggression when tested two
403 weeks after entering a shelter compared to one to two days after admission and that aggression
404 was more probable in the presence, than in the absence, of a passive owner (Kis et al., 2014).
405 Evidence suggests stress can affect cognitive functioning (Marina et al., 2011) and behaviour
406 (Beerda et al., 1997; Beerda et al., 1999; Grønli et al., 2005).

407
408 This implies that all standardised shelter dog assessment protocols, used soon after admission to
409 inform decisions about which dogs are made available for adoption, rehabilitation or euthanased,
410 may fail to provide shelter staff with accurate information upon which these decisions can be
411 based. Other factors are also likely to influence the results. For example, it is possible that shelter
412 staff, as experienced and more confident dog handlers, are less likely to elicit aggressive
413 behaviours in dogs during testing than are new owners once the dog is rehomed. There are also
414 many possible situational factors that could undermine the predictive validity of the protocol,
415 such as the absence of the dog-owner relationship (van der Borg et al., 1991) novelty or stressors
416 in the environment. Indeed, a recent study by Marder et al., (2013) demonstrated that many
417 shelter dogs that exhibited food aggression during a behaviour assessment did not exhibit the
418 behaviour post adoption. The situations simulated within any given behaviour assessment may
419 not stimulate a dog's triggers for aggressive behaviour (Christensen et al., 2007). In addition,
420 certain types of aggression, such as territorial aggression, are very difficult to assess in a shelter
421 because the dog does not have a home territory other than its kennel (van der Borg et al., 1991).

422

423 This is a serious issue and it may mean that a new approach to rehoming shelter dogs, which does
424 not rely on the outcome of behaviour assessments to make decisions about which dogs are
425 suitable for adoption, warrants further consideration. Foster programs in which dogs are
426 temporarily housed with competent and experienced foster carers until they are adopted provide
427 dogs with an opportunity to live and learn in a home environment and gain skills that are
428 necessary for their role as a companion animal. It would also allow foster carers to observe,
429 assess and address any problematic behaviour that arises in a home environment that may not be
430 observed in shelter environment. Future research could investigate whether foster care programs
431 produce better behaved dogs post adoption compared with dogs adopted from shelters, or at least
432 whether assessment of those dogs is more predictive of behaviour in the new home. New owners
433 adopting dogs may be complete novices when it comes to their understanding of canine body
434 language and behaviour. This lack of knowledge could result in dogs being placed in situations in
435 which they resort to aggression such as growling or snapping. The development of a shelter dog
436 manual, which provides new adopters with a basic level of understanding of canine behaviour,
437 body language, appropriate interaction and correct training methodology, could be a cost effective
438 way of reducing post adoption aggression due to improper management, interaction or
439 interpretation of behaviour.

440

441 In addition to the main findings that arose from this study, one further issue requires discussion. It
442 quickly became apparent during the study that the development and ongoing improvement of
443 scientifically valid shelter dog behaviour assessment protocols is extremely challenging. Despite
444 our best efforts we were unable to gain an adequate level of assistance from existing shelters in
445 Australia. Shelter managers and staff were enthusiastic about the project, but they work with
446 enormously varied available resources and capabilities associated with individual shelter
447 establishments and rescue organisations. Our findings are consistent with Haverbeke et al. (2014)
448 where a clear discrepancy between the field reality and current scientific knowledge was evident.

449 Financial restrictions and lack of time prevented shelters in the European Union from utilising
450 scientifically validated protocols for assessing adoption suitability in dogs, despite there being a
451 demand for such a tool. Furthermore, it is understood that no behavioural evaluation can predict,
452 with absolute certainty, the future behaviour of dogs and so a more holistic approach to adoption
453 is required. A broad picture evaluation which takes into account pre-shelter, in shelter and post-
454 shelter behaviour assessment and a follow up interview with new owners is warranted (Haverbeke
455 et al., 2014). This, together with efforts to improve shelter dog behaviour and adoptability by
456 minimising in-shelter stress, providing enrichment and utilising rehabilitation training may help
457 increase adoption success. To achieve this, however, further research is required.

458

459 **Conclusion**

460 Findings from this study suggest that the B.A.R.K. protocol may be a useful tool in predicting
461 some behavioural traits, specifically fear and friendliness in shelter dogs. However, it does not
462 appear effective in predicting aggressive behaviour or problem behaviour post adoption. This may
463 reflect a fault of the instrument itself or could be the result of problems inherent in assessing
464 behaviour in a highly stressful environment, where a stable human-canine relationship is absent,
465 and using this information to predict behaviour in a home environment with a stable human-
466 canine relationship. Shelters may therefore need to review their standard practices when making
467 decisions based on the results of in-shelter behaviour assessments. A holistic approach including
468 assessment of behaviour pre-shelter, in-shelter and post-shelter, together with stress reducing
469 enrichment and rehabilitation training may assist to provide a more complete picture of canine
470 behaviour and adoptability. Foster care programs are a potential alternative to extended shelter
471 stays and warrant further investigation. Behaviour is complex and context specific and providing
472 educational materials to provide adopters with an understanding of canine behaviour and training
473 together with ongoing support may help to prevent the expression of aggressive and problem
474 behaviour post adoption.

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536

537

537 **Table 1**
 538 The frequencies (%) with which participants rated the importance of various factors in their
 539 decision to adopt their dog*

Factor	Frequency (%)				
	Extremely unimportant	Unimportant	Neither important nor unimportant	Important	Extremely important
The size of the dog	0.0	9.6	8.2	67.1	15.1
The coat type of the dog	4.1	35.6	21.9	32.9	5.5
The appearance of the dog	4.1	27.4	21.9	41.1	5.5
The dog needed a home	0.0	6.8	5.5	49.3	38.4
The gender of the dog	2.7	61.6	15.1	11.0	9.6
The behaviour of the dog	0.0	6.8	5.5	45.2	42.5
The personality of the dog	0.0	4.1	8.2	53.4	34.2
I felt sorry for the dog	2.7	28.8	31.5	30.1	6.8

540 *The most common responses, selected by over 25% of respondents, are highlighted in bold.

541

542

Table 2

543

The frequency (%) with which newly adopted dogs displayed a range of behaviours*

Item	Never	Rarely	Sometimes	Often	Very Often
Shown fear of strangers	60.8	10.8	17.6	4.1	6.8
Greeted visitors in a friendly manner	6.8	1.4	10.8	20.3	60.8
Shown fear of strange noises/objects	27.0	23.0	27.0	12.2	10.8
Shown fear of other dogs	60.3	13.7	11.0	12.3	2.7
Toileted inside	41.9	36.5	9.5	8.1	4.1
Jumped on people	17.6	13.5	24.3	20.3	24.3
Pulled hard on the lead	19.4	15.3	16.7	15.3	33.3
Been overly active	24.3	23.0	18.9	16.2	17.6
Mouthed or chewed people in play	54.1	20.3	16.2	8.1	1.4
Chased small animals	40.5	12.2	20.3	20.3	6.8
Displayed aggression towards another animal	47.3	25.7	16.2	5.4	5.4
Escaped	62.2	24.3	6.8	2.7	4.1
Growled or snapped while eating a treat/bone	93.2	4.1	2.7	0.0	0.0
Growled or snapped while eating it's meal	93.2	5.4	1.4	0.0	0.0
Growled or snapped at or attempted to bite a person	75.7	16.2	6.8	1.4	0.0
Growled or snapped while playing with a toy	93.2	4.1	2.7	0.0	0.0
Been destructive	41.9	28.4	13.5	9.5	6.8
Been too noisy	54.1	29.7	10.8	4.1	1.4
Been anxious/stressed when home alone	44.6	32.4	16.2	1.4	5.4

544

*The most common responses, selected by over 25% of respondents, are highlighted in bold.

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Table 3

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The factor loadings for post adoption behaviour subscales derived from principal component

549

analysis, followed by an Oblimin rotation, of survey items investigating the frequency newly

550

adopted dogs displayed a range of behaviours*

Item	Factor		
	Fearful/ Inappropriate toileting	Problem behaviour	Aggression
Shown fear of strangers	0.841	-0.027	0.002
Greeted visitors in a friendly manner	-0.773	.125	0.078
Shown fear of strange noises/objects	0.677	0.035	0.135
Shown fear of other dogs	0.524	-0.075	0.203
Toileted inside	0.474	0.178	-0.200
Jumped on people	-0.270	0.672	-0.174
Pulled hard on the lead	-0.310	0.597	0.003
Been overly active	-0.029	0.591	-0.048
Mouthed or chewed people in play	0.203	0.550	-0.143
Chased small animals	0.021	0.490	0.140
Displayed aggression towards another animal	0.094	0.489	0.406
Escaped	-0.087	0.483	-0.156
Growled or snapped while eating a treat/bone	0.093	-0.44	0.816
Growled or snapped while eating it's meal	-0.004	0.038	0.816
Growled or snapped at or attempted to bite a person	0.214	-0.18	0.583
Growled or snapped while playing with a toy	-0.251	-0.018	0.413
% variance explained	15.06	13.16	11.03

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*The significant factors are highlighted in bold.

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